



Licence display and general disclosure policy

Policy

Policy FIPM shall at all times display a certified copy of the FSB issued “FAIS” licence in a prominent and durable manner within every business premises and ensure that the licence is available for production within a reasonable time, to any person requesting proof of licensed status.

Licence must be current FIPM shall not use a licence or copy of a licence for business purposes where the licence:

- Has lapsed
- Has been withdrawn or provisionally withdrawn, or
- Is under suspension.

Immediate removal Should any of these situations arise, FIPM shall remove its licence from display and cease all financial services until the matter has been rectified.

Disclosure

Statutory disclosures The KI shall ensure that all statutory disclosures required are continually brought up to date, correct and adequately disclosed in the correct format to the required persons.

All statutory disclosures These disclosures include all statutorily required disclosures, and not just those pertinent to the “FAIS” Act.