



# BROKER APPOINTMENT

NAME OF INSURED	COMPANY REG NO
IDENTITY NO	VAT REG NO
CONTACT PERSON	
PHYSICAL ADDRESS	
CODE	
POSTAL ADDRESS	
CODE	
TEL NO (H)	(CELL)
(B)	(F)
EMAIL:	
INCEPTION DATE	
BANK NAME	BANK ACCOUNT NO
BRANCH	CLEARING CODE
ACCOUNT TYPE	

By signing this Agreement, Client and the Brokerage agree to perform the following:

**Broker agrees to:**

**LEARN** about Client and Client's goals

**ANSWER** Client's questions

**ANALYSE** financial planning strategies to help Client make informed decisions

**IMPLEMENT** the strategy that best achieves Client's goals

**MEASURE, MANAGE, and REPORT** to Client the progress towards Client's goals

**UPDATE** Client's strategy to accommodate changes

**Client agrees to:**

**PROVIDE** all required data required initially and from time to time as requested as well as all documents and information that may be required

**AUTHORIZE** third parties to disclose Client's confidential information to the Brokerage as required

**INFORM** the Brokerage promptly of changes in Client's personal financial situation

**PARTICIPATE** in review sessions annually, unless otherwise agreed, in order to update Client's financial planning strategies

Postal Address.  
 Postnet Suite #L19  
 Private Bag X37  
 Lynnwood Ridge  
 0040

Physical Address.  
 Unit 52, Block 4  
 Lombardy Business Park  
 Corner of Cole and Graham Road  
 Silver Lakes, Pretoria, 0054

Telephone.  
 012 809 1062 / 078 460 3114  
 Facsimile.  
 012 809 1072 / 0866 909 971

email.  
 scottpm@firstinternational.co.za  
 web.  
 www.firstinternational.co.za

Authorised Financial  
 Services Provider.  
 License number 16438

Reg number.  
 1945/019293/07

VAT number.  
 4110227818

Directors.  
 Mrs. Patrizia Scott MD  
 Dr. Gerrit Sandrock



I/we (full name in print) \_\_\_\_\_ ID number \_\_\_\_\_ in my capacity as \_\_\_\_\_ hereby appoint First International Portfolio Managers PTY LTD (hereinafter referred to as FIPM) as my/our insurance broker to manage and maintain my/our short-term insurance as mutually agreed upon from time to time.

I/we accept that:-

- They are entitled to receive any and all commission payable on this insurance.
- FIPM shall execute this mandate with reasonable care and expertise.
- Any change in respect of the risk, underwriting or personal information relevant to the insurance will be disclosed to FIPM as soon as possible and that FIPM will not be liable for any damage resulting from my/our breach of this duty.
- Both parties will be legally bound by this agreement which can be cancelled by giving written notice
- All information furnished by Client to the Brokerage, including Client's identity, shall be treated as confidential. The Brokerage agrees not to voluntarily disclose confidential information without Client's prior written consent (unless required by law or court order, or unless such information becomes publicly available or known other than as a result of actions of the Brokerage). In the event the Brokerage is compelled to disclose confidential information by legal process, it will attempt to give prior written notice to Client. Client authorizes the Brokerage to disclose Client's confidential information to insurers as may be required.
- This appointment removes any previous intermediaries / brokers that may have represented Client in the past in respect of Client's financial portfolio.
- This appointment relates to my
  - Personal short-term insurance portfolio
  - Commercial short-term insurance portfolio
  - Marine insurance portfolio
  - Specialised short-term insurance needs

This Agreement contains the entire understanding of the parties. Any oral understandings are incorporated and merged in this Agreement. No representations were made or relied upon by either party except as set forth. This Agreement may not be changed unless both Client and Brokerage agree to the change in writing.

Signed \_\_\_\_\_

Date \_\_\_\_\_

## **Disclosure Information**

- First International Portfolio Managers Pty Ltd is an Authorised Financial Services Provider with license number 16438
- Patrizia Scott and Ena Vermeulen are employed as representatives of FIPM by virtue of a written contract of employment
- Jean Ramage and Thabiso Mamabolo are employed as a representatives under supervision by virtue of a written contract of employment with FIPM
- All staff are salaried employees and receive bonuses based on individual and company performance
- First International Portfolio Managers Pty Ltd hold PI cover for R20 million
- First International Portfolio Managers Pty Ltd does not hold more than 10% interest in any product providers
- First International Portfolio Managers Pty Ltd is an accredited agent for Auto & General, Lombard Insurance, Hollard Insurance, Mutual & Federal, Santam, Renasa, AIG, RMB, SaXum, ONE, Discovery Insure and Lloyds
- First International Portfolio Managers Pty Ltd receives more than 30% of its income from Santam and Lombard Insurance
- The key individual is Patrizia Margarete Scott (ID 6708130143086) who holds a B.Proc, and is a Fellow of the Insurance Institute of South Africa and has more than 25 years experience in the short-term insurance industry since 1987
- A copy of the complaints policy is available on request from the office or the key individual
- A copy of the conflict of interest policy is available on request from the office or the key individual
- Compliance Officer contact details are – Dawn Julyan-Larkins, 481 Barry Hertzog Street, Waterkloof Glen, 0181; 012-998 7938; [dawn.iss@fais.co.za](mailto:dawn.iss@fais.co.za)
- FAIS Ombudsman contact details are – PO Box 74751, Lynnwoodridge, 0040; 0860 324 766; [info@faisombud.co.za](mailto:info@faisombud.co.za)
- Short-term Insurance Ombudsman contact details are – PO Box 32334, Braamfontein, 2017; 0860 726 890; [info@osti.co.za](mailto:info@osti.co.za)
- Long-term Insurance Ombudsman – 3<sup>rd</sup> Floor Sanclare Building, 21 Dreyer Street, Claremont, 7708; 021 657 5000; [info@ombud.co.za](mailto:info@ombud.co.za)